

BIOGRAPHICAL AND FINANCIAL INFORMATION REQUESTED OF NOMINEES

A. Biographical Information

- 1. Name:** (Include any former names used.)

**Sally Katzen
Sally Katzen Dyk**

- 2. Position to which nominated:**

Deputy Director for Management Office of Management and Budget

- 3. Date of nomination:**

July 1, 1999

- 4. Address:** (List current place of residence and office addresses.)

**Residence - 4638 30th Street, NW
Washington, D.C. 20008**

**Office - Office of Management and Budget
OEOB Rm. 260
Washington, D.C. 20503**

- 5. Date and place of birth:**

**November 22, 1942
Pittsburgh, Pennsylvania**

- 6. Marital status:** (Include maiden name of wife or husband=s name.)

Married to Timothy B. Dyk

7. Names and ages of children:

Child: Abraham B. Dyk – 17 years old

**Stepdaughters: Caitlin Shirer Palacios – 33 years old
Deirdre Lawrence Van Dyk – 35 years old**

8. Education: List secondary and higher education institutions, dates attended, degree received and date degree granted.

Taylor Allderice High School: 1954-1960; diploma (June 1960)

Smith College: 1960-1964; B.A., magna cum laude (May 1964)

University of Michigan Law School: 1964-1967; J.D., magna cum laude (May 1967)

9. Employment record: List all jobs held since college, including the title or description of job, name of employer, location of work, and dates of employment. (Please use separate attachment, if necessary.)

**Intern, Civil Rights Division, Department of Justice,
Washington, D.C.,
May 1965-August 1965**

**Clerk for Judge J. Skelly Wright, U.S. Court of Appeals for the District of
Columbia Circuit,
Washington, D.C.,
1967 – July 1968**

**Associate/Partner (as of 1/1/75), Wilmer, Culter and Pickering,
900 17th Street, NW & 1666 K Street, NW
Washington, D.C.,
August 1968 – March 1979**

**General Counsel/Deputy Director for Program (as of 10/80),
Council on Wage and Price Stability,
Executive Office of the President
Washington, D.C.,
March 1979 - January 1981**

**Partner, Wilmer, Cutler & Pickering
1666 K Street, NW & 2445 M Street NW,
Washington, D.C.,
March 1981 – May 1993**

**Adjunct Professor, Georgetown Law Center
Washington, D.C.,
Spring term 1986, Fall term 1990, Fall term 1992**

**Administrator, Office of Information and Regulatory Affairs,
Office of Management and Budget
OEOB Rm. 350
Washington, D.C.,
June 1993-February 1998**

**Deputy Director, National Economic Council,
The White House,
OEOB Rm. 231
Washington, D.C.,
February 1998 – June 1999**

10. Government Experience: List any advisory, consultative, honorary or other part-time service or positions with Federal, State, or local governments, other than those listed above.

**Public Member, Administrative Conference of the U.S.
1988-1993**

Member of Council and Vice Chairman, Administrative Conference of the U.S. 1993-1995

**Member, Commission on the Bicentennial of the U.S. Constitution, U.S. Court of Appeals
for the D.C. Circuit
1987-1990**

**Member, Judicial Conference of the District of Columbia Circuit
1969-1981; 1983-1992**

*** Any other positions from 1993 to present would have been solely by virtue of my position
at OMB.**

11. Business relationships: List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business enterprise, educational or other institution.

None at present other than:

Limited Partner, Hempstead Village Associates

Limited Partner, Urban Village Associates

Member, Committee of Visitors – University of Michigan Law School

Recent Past:

Secretary and Director, TAS Corp. (a family corporation dissolved in 1996)

Partner, Wilmer, Cutler & Pickering (withdrew in 1993)

While in private practice, I served as an attorney/agent/representative/consultant for business clients of Wilmer, Cutler & Pickering. My primary clients for the last two years of private practice (1991-1993) were: Capital Cities/ABC; Communications Satellite Corporation; Community TV Foundation of South Florida; Federal National Mortgage Association; Federal Home Loan Bank of San Francisco; IMAX Systems Corporation; International Microwave Power Institute; Lewis & Roca (Council of Community Blood Centers); National Public Radio; Seattle Public Schools; Swiss Bankers Association; Trans-Alaska Pipeline Liability Fund; and US West.

12. Memberships: List all memberships and offices held in professional, business, fraternal, scholarly, civic, public, charitable and other organizations.

Current memberships include:

American Bar Association

Member

Member, ABA Fellows

District of Columbia Bar

Federal Communications Bar Association

Women's Bar Association

Women's National Democratic Club

Cosmos Club

Forest Hills Citizens Association

Smith College Club of Washington

Smith College Alumnae Association

University of Michigan Law School Club of Washington

University of Michigan Law School Alumni Association

Temple Sinai

Tim Johnson for Senate	\$500
Democratic National Committee	\$200
Democratic National Committee	\$100
John Schmidt for Governor	\$250
Anthony Williams for Mayor	\$500
Democratic National Committee	\$100

14. Honors and awards: List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals and any other special recognitions for outstanding service or achievements.

Abram W. Sempliner Memorial Award (University of Michigan Law School)
Junior Class Prize (University of Michigan Law School)

Editor-in Chief, Michigan Law Review

Order of the Coif

Excellent Pro Bono Award (Radio Station KNHC, Seattle Public Schools)

Outstanding Leadership Service and Commitment Award (Federal Communications Bar Association)

Distinguished Alumni Hall of Fame - Taylor Alderice High School

Federal 100, Federal Computer Week – 1994, 1995, 1998

15. Published writings: List the titles, publishers, and dates of books, articles, reports, or other published materials which you have written.

“Fifth Circuit Relies on Administrative Standards in School Desegregation Cases,” 64 Mich. L. Rev. 340 (1965)

“Uninsured Casualty Losses are Within The Scope of I.R.C. Section 1231,” 64 Mich. L. Rev. 735 (1966)

“Introduction to Symposium: Administrative Law Issues in Comprehensive Health Care Reform”, 47 Admin. L. Rev. 373 (1995)

“Administration Perspectives on the 1995 Regulatory Reform Legislation”, 48 Admin. L. Rev. 331 (1996)

Three copies of the above are attached (Att. 1).

16. Speeches: Provide the Committee with three copies of any formal speeches you have delivered during the last 5 years which you have copies of and are on topics relevant to the position for which you have been nominated.

From June 1993 to February 1998, I testified before Congress 47 times in my capacity as Administrator of OIRA. A list of the testimonies is attached as Attachment 2. In addition, during this same period, I spoke on average twice a week, most of the time from talking points rather than text. Three copies of speeches (or talking points) that I have retained are attached (Att. 3).

17. Selection:

(a) Do you know why you were chosen for this nomination by the President?

No. However, I believe I was chosen on the basis of my professional and personal qualifications and experience

(b) What do you believe in your background or employment experience affirmatively qualifies you for this particular appointment?

For the last 6 years I have held senior government positions as the Administrator of the Office of Information and Regulatory Affairs (OIRA) and the Deputy Director of the National Economic Council. OIRA is one of the three areas overseen by the Deputy Director for Management. During my tenure as Administrator of OIRA, I had an opportunity to work closely with the Deputy Director for Management, not only in the specific areas within OIRA's jurisdiction but in related areas as well. In addition, I often attended and participated in many of the management organizations within the Executive Branch (e.g., the President's Management Council, the CIO Council, etc.). More generally, over the last 6 year I have dealt with many of the management and policy issues that has confronted the Executive Branch. This mixture of management and policy gives me a unique ability to integrate the M and the B in OMB. During the past 6 years, I have also been able to establish solid working relationships with many of the senior people in the government who bear management responsibility for their departments or agencies.

B. FUTURE EMPLOYMENT RELATIONSHIPS

1. Will you sever all connections with your present employers, business firms, business associations or business organizations if you are confirmed by the Senate.

I have already severed all connections with private sector employers.

2. Do you have any plans, commitments or agreements to pursue outside employment, with or without compensation, during your service with the government? If so, explain.

No

3. Do you have any plans, commitments or agreements after completing government service to resume employment, affiliation or practice with your previous employer, business firm, association or organization?

No

4. Has anybody made a commitment to employ your services in any capacity after you leave government service?

No

5. If confirmed, do you expect to serve out your full term or until the next Presidential election, whichever is applicable?

Yes

C. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients or customers.

None

2. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.

I currently have a qualified blind trust for my husband's and my investment portfolio other than U.S. Government securities and mutual funds. My husband is a partner of Jones, Day, Reavis & Pogue. Any potential conflict of interest raised by these or other matters is addressed in the attachment to my SF-278, which specifies that I will recuse myself from any particular matter in which my husband's firm has a financial interest or represents a party.

3. Describe any business relationship, dealing or financial transaction which you have had during the last 10 years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.

None.

4. Describe any activity during the past 10 years in which you have engaged for the purpose of directly or indirectly influencing the passage, defeat or modification of any legislation or affecting the administration and execution of law or public policy.

I currently have a qualified blind trust for my husband's and my investment portfolio other than U.S. Government securities and mutual funds. My husband is a partner of

Jones, Day, Reavis & Pogue. Any potential conflict of interest raised by these or other matters is addressed in the attachment to my SF-278, which specifies that I will recuse myself from any particular matter in which my husband's firm has a financial interest or represents a party.

5. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items. (Please provide copies of any trust or other agreements.)

As indicated above, I have recused myself with respect to my husband's firm. A copy of my Blind Trust Agreement is attached (Att. 4).

Any other conflicts that arise will be resolved by recusal or other ethics undertaking as specified by the OMB ethics officials.

6. Do you agree to have written opinions provided to the Committee by the designated agency ethics officer of the agency to which you are nominated and by the Office of Government Ethics concerning potential conflicts of interest or any legal impediments to your serving in this position?

Yes

D. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics for unprofessional conduct by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, provide details.

No

2. Have you ever been investigated, arrested, charged or held by any Federal, State, or other law enforcement authority for violation of any Federal, State, county or municipal law, regulation or ordinance, other than a minor traffic offense? If so, provide details.

No

3. Have you or any business of which you are or were an officer ever been involved as a party in interest in any administrative agency proceeding or civil litigation? If so, provide details.

In the late 1970's, early 1980's, my husband and I, together with several other neighbors, brought suit in Virginia against an upstream neighbor who was interfering with the flow of water through our property. My husband and I sold the property in the mid 1980's.

In the early 1980's, adjoining property owners brought suit before the D.C. Zoning Board alleging that construction on our property violated the zoning laws. My husband and I and another couple were constructing our houses on vacant land. The zoning issue related to the other couple's property. The case was ultimately settled.

In the early 1990's, my husband and I brought an action in Massachusetts against tenants who had overstayed their lease, seeking possession of the property, unpaid rent and compensation for damages to the property. The defendants vacated the property, and the suit was dismissed without prejudice on June 26, 1998.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense?

No

5. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be considered in connection with your nomination.

None.

E. FINANCIAL DATA

All information requested under this heading must be provided for yourself, your spouse and your dependents. (This information will not be published in the record of the hearing on your nomination, but it will be retained in the Committee's files and will be available for public inspection.)

Financial Data -- On file with the Committee on Governmental Affairs